

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
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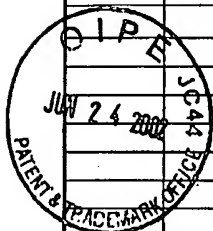
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Sheet 1 of 2**Complete if Known**

Application Number	10/088,724
Filing Date	March 18, 2002
First Named Inventor	Nishimoto
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	082377-000000US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear



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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
OC	AA	WO	01/21786	A1 ✓	03-29-2001			yes
OC	AB	WO	00/14204	A1 ✓	03-16-2000			yes

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Date
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3/11/4

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2**Complete If Known**

Application Number	10/088,724
Filing Date	March 18, 2002
First Named Inventor	Nishimoto
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	082377-000000US

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AC ¹	BRENNEMAN et al., "Activity-Dependant Neurotrophic Factor: Structure-Activity Relationships of Femtomolar-Acting Peptides," <u>J. Pharmacology Exp. Therapeutics</u> , 285(2): 619-627 (1998).	
	AD	BRENNEMAN et al., "A Femtomolar-acting Neuroprotective Peptide," <u>J. Clinical Investigation</u> , 97(10): 2299-2307 (1996).	
	AE	DORE et al., "Insulin-like growth factor I protects and rescues hippocampal neurons against β -amyloid- and human amylin-induced toxicity," <u>PNAS</u> , 94:4772-4777 (1997).	
	AF	GUO et al., "Calbindin D28k blocks the proapoptotic actions of mutant presenilin 1: Reduced oxidative stress and preserved mitochondrial function," <u>PNAS</u> , 95: 3227-3232 (1998).	
	AG	GUO et al., "Secreted β -Amyloid Precursor Protein Counteracts the Proapoptotic Action of Mutant Presenilin-1 by Activation of NF - κ B and Stabilization of Calcium Homeostasis*," <u>J. Biological Chemistry</u> , 273(20):12341-12351 (1998).	
	AH	MARK et al., "Basic FGF attenuates amyloid β -peptide-induced oxidative stress, mitochondrial dysfunction, and impairment of Na ⁺ /K ⁺ -ATPase activity in hippocampal neurons," <u>Brain Research</u> , 756:205-214 (1997).	

Examiner
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Considered

3/11/04

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PTO/SB/08B (04-03)

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STATEMENT BY APPLICANT**

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Page 2 of 2

Complete if Known

Application Number	10/088,724
Filing Date	June 14, 2002
First Named Inventor	NISHIMOTO, Ikuo
Art Unit	1646
Examiner Name	Unassigned
Attorney Docket Number	082377-000000US

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NON PATENT LITERATURE DOCUMENTS

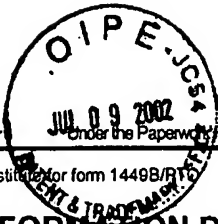
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pc	AD	XP-02230776, "Homo sapiens mitochondrial DNA, complete genome," (2000).	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Completeness of Known			
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		First Named Inventor	Nishimoto		
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		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	082377-000000US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
OC	AA	MATTSON et al., "Estrogens stabilize mitochondrial function and protect neural cells against the pro-apoptotic action of mutant presenilin-1," <u>NeuroReport</u> , 8:3817-3821 (1997).	

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